

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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SONTERRA CAPITAL MASTER FUND LTD., :  
FRONTPONT EUROPEAN FUND, L.P., :  
FRONTPONT FINANCIAL SERVICES FUND, :  
L.P., FRONTPONT HEALTHCARE FLAGSHIP : Case No. 15-cv-00871 (SHS)  
ENHANCED FUND, L.P., FRONTPONT :  
HEALTHCARE FLAGSHIP FUND, L.P., :  
FRONTPONT HEALTHCARE HORIZONS :  
FUND, L.P., FRONTPONT FINANCIAL :  
HORIZONS FUND, L.P., FRONTPONT UTILITY : **RULE 7.1 CORPORATE DISCLOSURE**  
AND ENERGY FUND L.P., HUNTER GLOBAL : **STATEMENT ON BEHALF OF**  
INVESTORS FUND I, L.P., HUNTER GLOBAL : **DEFENDANT GOTTEX BROKERS SA**  
INVESTORS OFFSHORE FUND LTD., HUNTER :  
GLOBAL INVESTORS SRI FUND LTD., HG :  
HOLDINGS II LTD., HG HOLDINGS II LTD., :  
FRANK DIVITTO, RICHARD DENNIS, and the :  
CALIFORNIA STATE TEACHERS' :  
RETIREMENT SYSTEM on behalf of themselves :  
and all others similarly situated, :  
: Plaintiffs, :  
: :  
v. :  
: :  
CREDIT SUISSE GROUP AG, CREDIT SUISSE :  
AG, JPMORGAN CHASE & CO., THE ROYAL :  
BANK OF SCOTLAND PLC, UBS AG, :  
DEUTSCHE BANK AG, DB GROUP SERVICES :  
UK LIMITED, TP ICAP PLC, TULLETT PREBON :  
AMERICAS CORP., TULLETT PREBON (USA) :  
INC., TULLETT PREBON FINANCIAL :  
SERVICES LLC, TULLETT PREBON (EUROPE) :  
LIMITED, COSMOREX AG, ICAP EUROPE :  
LIMITED, ICAP SECURITIES USA LLC, NEX :  
GROUP PLC, INTERCAPITAL CAPITAL :  
MARKETS LLC, GOTTEX BROKERS SA, :  
VELCOR SA AND JOHN DOE NOS. 1-50, :  
: Defendants. :  
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Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for defendant Gottex Brokers SA hereby certifies that Compagnie Financière Tradition SA, a public corporation, owns 10% or more of its stock.

Dated: New York, New York  
March 22, 2018

RICHARDS KIBBE & ORBE LLP

By: /s/ Shari A. Brandt

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